

Influence of hydroxypropyl- β -cyclodextrin on phytosterol biotransformation by different strains of *Mycobacterium neoaurum*

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Abstract Cyclodextrins (CDs) can improve productivity in the biotransformation of steroids by increasing conversion rate, conversion ratio, or substrate concentration. However, little is known of the proportion of products formed by multi-catabolic enzymes, e.g., via sterol side chain cleavage. Using three strains with different androst-1,4-diene-3,17-dione (ADD) to androst-4-ene-3,17-dione (AD) ratios, *Mycobacterium neoaurum* TCCC 11028 (*MNR*), *M. neoaurum* TCCC 11028 M1 (*MNR* M1), and *M. neoaurum* TCCC 11028 M3 (*MNR* M3), we found that hydroxypropyl- β -cyclodextrin (HP- β -CD) can appreciably increase the ratio of ADD to AD, the reaction rate, and the molar conversion. In the presence of HP- β -CD, conversion of 0.5 g/L of phytosterol (PS) was 2.4, 2.4, and 2.3 times higher in the *MNR*, *MNR* M1, and *MNR* M3 systems, respectively, than in the controls. The ADD proportion increased by 38.4, 61.5, and 5.9 % compared with the control experiment, which resulted in a strong shift in the ADD/AD ratio in the ADD direction. Our results imply that the three PS-biotransforming strains cause efficient side chain degradation of PS, and the increased conversion of PS when using HP- β -CD may be associated with the higher PS concentration in each case. A similar solubilizing effect may not induce a prominent influence on the ADD/AD ratio. However, the different activities of the Δ^1 -dehydrogenase of PS-biotransforming strains result in different

incremental percentage yields of ADD and ADD/AD ratio in the presence of HP- β -CD.

Keywords Phytosterols · Hydroxypropyl- β -cyclodextrin · Side chain degradation · Bioconversion · Δ^1 -dehydrogenase

Introduction

Androst-4-ene-3,17-dione (AD) and androst-1,4-diene-3,17-dione (ADD) are very important biological intermediates which can be obtained via the side chain cleavage of natural phytosterol (PS) by microorganisms [6, 14, 17]. Microbial transformations of sterols are severely limited by the poor water solubility of such hydrophobic substrates and the inhibition or toxicity that both substrate and product exert upon the microorganism [8]. Many techniques have been used to deal with these shortcomings, including the use of surfactants, ionic liquids, liquid polymers, cyclodextrin (CD), liposome, and water-miscible and water-immiscible organic solvents [1, 2, 4, 15, 22, 25, 26]. CD is an effective medium for enhancing the biotransformation of steroid compounds [8, 11]. This enhancement is generally attributed to the increased solubility of steroids through the formation of inclusion complexes with CDs [13, 18]. Several groups have demonstrated enhanced steroid biotransformation in the presence of natural and chemically modified CDs [3, 7, 15, 16, 20], including the authors' previous work [12, 13, 24, 29]. Numerous studies have been reported on biocatalysis in a CD medium; however, most of these studies focused on catalysis with improved steroid productivity by means of increasing conversion rate, conversion ratio, or substrate

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concentrations. Furthermore, not much data are available on the conversion process and products proportion, e.g., sterol side chain cleavage, carried out using multi-catabolic enzymes promoting many consecutive reactions [23].

Mycobacterium sp. is the most widely used microorganism for sterol biotransformation to produce ADD and AD [6, 17]. AD and ADD are produced simultaneously with biotransformation. It is difficult to separate these substances in downstream processing because of their high structural similarity, thereby reducing product yield and affecting product quality [8, 28]. Considerable efforts have been made to generate high-purity AD(D) using medium engineering, process optimization, and strain improvement through mutagenesis and selective genetic engineering [8, 14, 28]. Recent work by Wang et al. found that lecithin behaves like surfactants and increases final product yield, productivity, and ADD/AD ratio [27]. In addition to increasing solubility of cholesterol in the media, lecithin interferes with the fatty acid profile of the cell envelope and enhances sterol penetration through the cell envelope [19, 27]. Similar to lecithin, CDs can also extract lipophilic components from biomembranes [5, 21] and increase cell membrane permeability, which make them a useful agent for improving transformation productivity and ADD/AD ratio. Garai et al. [9] studied the effects of β -CD on progesterone biotransformation by acetone-dried *Aspergillus fumigatus* and demonstrated that the activity of the enzyme system responsible for side chain degradation of progesterone was inhibited in the presence of β -CD, with pregna-1,4-diene-3,20-dione being the only isolable metabolite. Compared with β -CD, hydroxypropyl- β -cyclodextrin (HP- β -CD) and its complexes have high water solubility, strong complexing ability, and good biocompatibility, suggesting a broader practical application [10, 24]. In the present work, three strains with different ADD/AD ratios, *Mycobacterium neoaurum* TCCC 11028 (*MNR*) and two mutant *M. neoaurum* TCCC 11028 strains, namely *M. neoaurum* TCCC 11028 M1 (*MNR* M1) and *M. neoaurum* TCCC 11028 M3 (*MNR* M3), were used to evaluate the influences of HP- β -CD on PS side chain degradation (Fig. 1). High-performance liquid chromatography (HPLC) analysis revealed that *MNR* accumulated ADD as the main product, whereas *MNR* M1 and *MNR* M3 accumulated AD. ADD/AD molar yields with *MNR*, *MNR* M1, and *MNR* M3 were about 1.2:1, 1:3.0, and 1:30.3, respectively. The influences of HP- β -CD on molar conversion, reaction rate, and particularly on the alteration of product ADD/AD ratio were investigated systematically. Our data provide a theoretical foundation and basic data for the application of CD in steroid biotransformation.

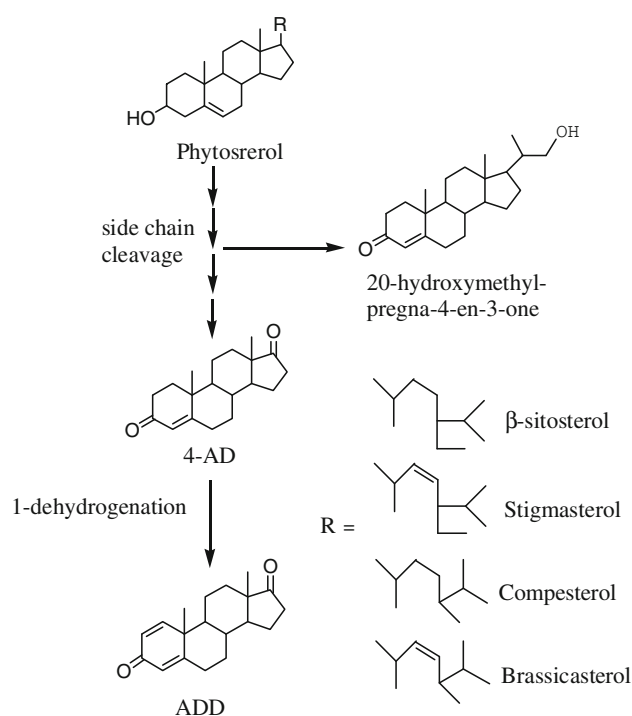


Fig. 1 Side chain degradation of phytosterols by *M. neoaurum*

Materials and methods

Materials

Substrate phytosterol (PS; 98.4 % purity) was obtained from Wuhan Kaidi Fine Chemical Industrial Co. Ltd. Standard 4-androstene-3,17-dione (AD) and 1,4-androstadiene-3,17-dione (ADD) were obtained from Sigma-Aldrich Co. (USA), and HP- β -CD (31.7 % degree of substitution, 1,523 average relative molecular mass) from Xi'an Deli Biology & Chemical Industry Co. Ltd. All chemical solvents and salts used were of analytical grade or higher.

Microorganism cultivation and bioconversion

M. neoaurum TCCC 11028 (*MNR*) used in this study was obtained from Tianjin University of Science & Technology Culture Collection Center (TCCC), Tianjin, China. *M. neoaurum* TCCC 11028 M1 (*MNR* M1) and *M. neoaurum* TCCC 11028 M3 (*MNR* M3) were spontaneous mutant *M. neoaurum* TCCC 11028 strains. The strains cultivated in shake flasks in two consecutive cultivation steps: 36 h for seed culture and 168 h for transformation culture. Seed medium was prepared as described by Shen et al. [21]. The transformation culture medium contained (g/L): glucose 10, MgSO_4 0.5, K_2HPO_4 0.5, $(\text{NH}_4)_2\text{HPO}_4$ 3.5, citric acid 2, Tween 80 7, PS 5, ammonium iron citrate 0.05, pH 7.2.

Whole cells were grown in 500-mL shake flasks containing 100 mL culture medium with HP-β-CD (0 or 25 mM) on a rotary shaker (200 rpm) at 29 °C using 7 % (v/v) of the seed culture as inoculum. During biotransformation, 1-mL samples were withdrawn at various time intervals, and then analyzed by HPLC. The microorganism growth and PS transformation were completed simultaneously during transformation culture [26]. In order to estimate each strain’s ability to catalyze the conversion of AD to ADD, the cells were incubated with PS-free liquid supplemented with 1 g/L AD with or without HP-β-CD 24 h after the growth of *M. neoaurum*.

For the susceptibility test, 1 g/L AD(D) was added to the Tween 80/PS-free liquid medium with or without HP-β-CD 24 h after the growth of *M. neoaurum*, which was then incubated at 29 °C, 200 rpm for 96 h. During incubation, optical density at 600 nm was used to measure growth [17]. All experiments were performed in triplicate and the data were statistically analyzed by one-way ANOVA.

Quantification of the bioconversion product

The samples were extracted using ethyl acetate and then dried in vacuum. Subsequently, the solid extracts were redissolved in the HPLC mobile phase and filtered through a 0.22-μm filter before HPLC analysis. HPLC analysis was performed on a Kromasil C18 column (250 × 4.6 mm) with methanol/water (4:1, v/v) as mobile phase at 30 °C with UV absorbance detection at 254 nm. The concentrations of AD and ADD were determined from the calibration curves of eluent solutions of standard AD and ADD.

Results and discussion

Effect of HP-β-CD on PS side chain degradation

The effect of HP-β-CD on PS bioconversion was investigated using different strains of *M. neoaurum* in the presence of 25 mM HP-β-CD. As shown in Table 1, HP-β-CD significantly enhanced molar conversion for all three

PS-biotransforming strains. At 0.5 g/L of PS in the systems containing *MNR*, *MNR M1*, and *MNR M3*, the molar conversion reached 87.3, 86.7, and 82.3 % in the presence of 25 mM HP-β-CD, respectively. In comparison, the control experiments displayed the highest molar conversion of 37.1, 36.5, and 35.2 % at 168 h, respectively. This indicated an increase of 2.4, 2.4, and 2.3 times, respectively, in AD(D) production from the HP-β-CD conversion system in comparison to the control. The increased conversion on PS when using HP-β-CD can be attributed to the increased solubility of PS in the media, allowing for a more efficient utilization of PS by the cells. This observation is in general accord with previous findings, which indicated that CDs enhance the biotransformation of steroid compounds [2, 23]. On other hand, the increased conversion on PS when using HP-β-CD might be associated with the reduction of the inhibitory effect of the products [17]. Smith et al. [22] reported that ADD toxicity might account for the low ADD accumulation in Tween 80 medium. As shown in Table 2, HP-β-CD considerably alleviated the inhibitory toxic effects of product on growth of *M. neoaurum* by sequestering AD and ADD, which might improve the performance of *M. neoaurum* on the bioconversion of PS. The *M. neoaurum* strains were unable to utilize HP-β-CD as a carbon source, similarly to other *Mycobacterium* sp. AD(D) has a certain inhibiting effect and HP-β-CD has a promoting effect on cell growth, but both these effects were much weaker on *MNR M3* than on *MNR* and *MNR M1*. This may be an interesting finding to study further, and may help to explain the lower bioconversion showed by *MNR M3*.

Effect of HP-β-CD on product proportion

The influence of HP-β-CD on product proportion is shown in Table 1. HP-β-CD increased the proportion of ADD and the by-product 20-hydroxymethylpregna-4-en-3-one (HMP) significantly for all three PS-biotransforming strains. The ADD proportion reached 82.5 % in the presence of 25 mM HP-β-CD for *MNR*, whereas that of the control only 53.8 %. Interestingly, the PS biotransformation

Table 1 PS bioconversion by different strains of *M. neoaurum* at 29 °C in the presence of 25 mM HP-β-CD

Corresponding parameters	Strains								
	<i>MNR</i>			<i>MNR M1</i>			<i>MNR M3</i>		
	Control	HP-β-CD	Enhancement (times)	Control	HP-β-CD	Enhancement (times)	Control	HP-β-CD	Enhancement (times)
Conversion ratio (%)	37.1	87.3	2.4	36.5	86.7	2.4	35.2	82.3	2.3
AD proportion (%)	46.2	1.9	–	75.3	4.4	–	96.8	80.7	–
ADD proportion (%)	53.8	82.5	1.5	24.7	79.4	3.2	3.2	9.8	3.1
HMP proportion (%)	Trace	15.6	–	Trace	16.2	–	Trace	9.5	–

Table 2 *M. neoaurum* growth on AD(D) with or without 25 mM HP- β -CD

Strains	Initial A_{600}^a	ΔA_{600}^b					
		-HP- β -CD ^c			+HP- β -CD ^c		
		Control	AD	ADD	Control	AD	ADD
<i>MNR</i>	0.154	0.589	0.163	0.004	0.783	0.642	0.604
<i>MNR</i> M1	0.118	0.609	0.246	0.028	0.773	0.631	0.631
<i>MNR</i> M3	0.055	0.672	0.509	0.351	0.738	0.667	0.682

^a After 24 h of incubation

^b ΔA_{600} is defined as the final A_{600} minus the initial A_{600}

^c Strain growth in PS-free liquid medium without (-) or with (+) 25 mM HP- β -CD

induced by HP- β -CD for *MNR* M1 led to a drastic shift in the AD/ADD ratio toward ADD, with the main product changing from AD (75.3 %) to ADD (79.4 %). For *MNR* M3, the main product was not changed, but the ADD proportion increased by 3.1-fold compared with the control experiment.

The proportion of ADD and HMP increased significantly with the addition of HP- β -CD. This might be explained either by the higher rate of substrate diffusion from the liquid medium into the cells in the presence of HP- β -CD compared with the control and a resulting enhancement of substrate supplement [13], or by the intrinsically higher combination and catalytic abilities of the enzyme [23]. In contrast, the effect seems to be correlated to the lowering of AD formation and might suggest some kind of regulating mechanism in the Δ^1 -dehydrogenase mediated by HP- β -CD. To support this assumption, an additional experiment was carried out. All three PS-biotransforming strains (*MNR*, *MNR* M1, and *MNR* M3) were pregrown in a transformation culture medium with PS-free liquid and tested for bioconversion of 1 g/L AD with or without HP- β -CD 24 h after the growth of *M. neoaurum*. As shown in Table 3, the conversion of AD was clearly intensified with HP- β -CD. For *MNR*, *MNR* M1, and *MNR* M3, the AD molar conversion increased by 1.2, 2.6, and 3.9 times, respectively, compared with the control experiment. *MNR* showed high activity of Δ^1 -dehydrogenase which resulted in high AD conversion; in contrast, the Δ^1 -dehydrogenase activity of *MNR* M3 was very low. This

could explain the high ADD proportion of *MNR*, whereas *MNR* M3 had a high AD proportion (Table 1).

Effect of HP- β -CD on each reaction stage of PS bioconversion

The overall PS bioconversion process by *M. neoaurum* is complicated. Many consecutive reactions are required to form the product ADD. The conversion can be divided into two major reactions: the conversion of the PS to AD and the conversion of the AD moiety to ADD, which involve the side chain degradation reaction and 1-dehydrogenation reaction, respectively (Fig. 1). Hence, the AD conversion ratio in the degradation reaction is defined as the mole ratio of produced ADD and AD to the original PS (reaction 1), and the ADD conversion ratio in the 1-dehydrogenation reaction is defined as the mole ratio of produced ADD to AD and ADD (reaction 2).

The effect of HP- β -CD on each reaction stage of PS bioconversion by different strains of *M. neoaurum* is shown in Table 4. HP- β -CD significantly increased the molar conversion of both reactions for all PS-biotransforming strains. For *MNR*, *MNR* M1, and *MNR* M3, the percentage yield of AD increased 2.0, 2.0, and 2.1 times, respectively, compared with the control experiment. The similar percentage yield of AD and enhancement factor with or without HP- β -CD together with the overall PS conversion studies (Table 1) implied that the efficiency of the PS side chain degradation for all PS-biotransforming strains and PS

Table 3 Bioconversion of AD (1 g/L) to ADD in shake flasks by different strains of *M. neoaurum* at 29 °C in the absence (control) and presence of HP- β -CD at 25 mM

Corresponding parameters	Strains								
	<i>MNR</i>			<i>MNR</i> M1			<i>MNR</i> M3		
	Control	HP- β -CD	Enhancement (times)	Control	HP- β -CD	Enhancement (times)	Control	HP- β -CD	Enhancement (times)
Conversion ratio (%)	67.0	81.7	1.2	29.1	74.5	2.6	0.7	2.5	3.6
ADD/AD	2.0:1	4.5:1	–	1:2.4	2.9:1	–	141.9:1	39.0:1	–

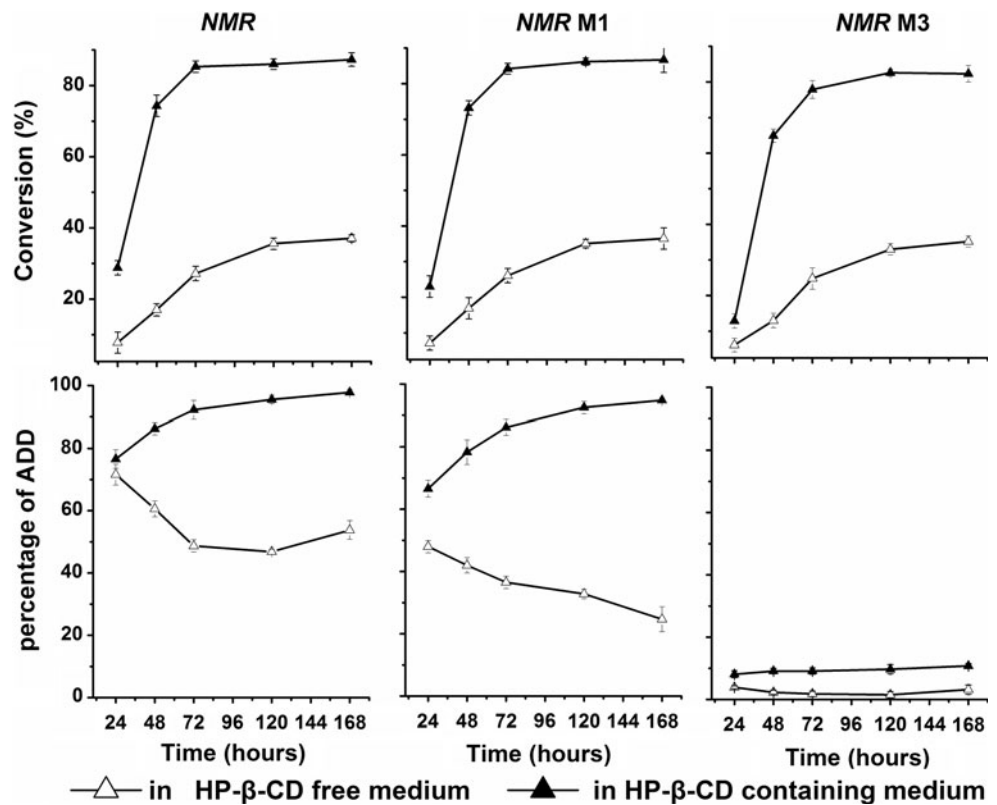
Table 4 PS biotransformation process by different strains of *M. neoaurum* at 29 °C in the presence of 25 mM HP-β-CD

Corresponding parameters	Strains								
	<i>NMR</i>			<i>NMR M1</i>			<i>NMR M3</i>		
	Control	HP-β-CD	Enhancement (times)	Control	HP-β-CD	Enhancement (times)	Control	HP-β-CD	Enhancement (times)
Percentage yield of AD ^a	37.1	73.7	2.0	36.5	72.6	2.0	35.2	74.5	2.1
Percentage yield of ADD ^b	53.8	97.8	1.8	24.7	94.8	3.8	3.2	10.8	3.4
ADD/AD	1.2:1	44.5:1	–	1:3.0	18.2:1	–	1:30.3	1:8.3	–

^a Percentage yield of AD in degradation reaction (reaction 1) is defined as the mole ratio of produced ADD and AD to the original PS

^b Percentage yield of ADD in 1-dehydrogenation reaction (reaction 2) is defined as the mole ratio of produced ADD to AD and ADD

Fig. 2 Time courses of PS conversion to AD(D) by *Mycobacterium neoaurum* TCCC 11028 (*MNR*), *M. neoaurum* TCCC 11028 M1 (*MNR M1*), and *M. neoaurum* TCCC 11028 M3 (*MNR M3*) with or without 25 mM HP-β-CD. The error bars represent mean ± SD (*n* = 3)



solubilizing effect played the main roles in the biotransformation in the presence of HP-β-CD [13]. For *MNR*, *MNR M1*, and *MNR M3*, the percentage yield of ADD increased 1.8, 3.8, and 3.4 times, respectively, compared with the control experiment in reaction stage 2. The increased enhancement factors were in general accord with those of the ADD production by 1-dehydrogenation reaction from AD (Table 3). The solubilizing effect of HP-β-CD and the Δ¹-dehydrogenase activity were the main factors influencing the percentage yield of ADD. The molar

ratios of ADD to AD in the products of strains *MNR*, *MNR M1*, and *MNR M3* were 1.2:1, 1:3.0, and 1:30.3 in the absence of HP-β-CD, respectively, whereas the ratios reached 44.5:1, 18.2:1, and 1:8.3 in the presence of HP-β-CD. These studies indicated that a similar solubilizing effect (similar concentration of AD) may not have a prominent influence on the ADD/AD ratio. However, the different activities of Δ¹-dehydrogenase would restrict the bioconversion of AD, which results in the different ADD production [17]. ADD was the main product (higher than

97 %) for *MNR* in the presence of HP- β -CD, with a trace amount of AD produced. The ADD/AD ratio is an indication of the relative biotransformation activity of the cells toward one product (ADD) over the other (AD). A higher ratio is desirable because the product is purer and easier to separate in downstream processing [14, 27].

Time course of microbial transformation reaction

The time course of microbial transformation was studied at a substrate concentration of 5 g/L and an HP- β -CD concentration of 25 mM. The addition of HP- β -CD significantly enhanced the bioconversion rates, degree of conversion, and product ratio of ADD/(AD + ADD). As shown in Fig. 2, the conversion reached a maximum on day 3 for *MNR* and *MNR* M1, and day 4 for *MNR* M3. For *MNR*, *MNR* M1, and *MNR* M3, the bioconversion rates ($\text{mg L}^{-1} \text{h}^{-1}$) reached 94.8, 104.8, and 108.3 from 24 to 48 h in the presence of 25 mM HP- β -CD, respectively, whereas the control experiment (without HP- β -CD) displayed bioconversion rates ($\text{mg L}^{-1} \text{h}^{-1}$) of 19.2, 20.4, and 14.4, respectively. Figure 2 indicates that the conversion for *MNR* M3 was lower than for the other two strains at the first transformation stage because the cell concentration for *MNR* M3 was 2- to 3-fold lower at 24 h (Table 2). The product ratios of ADD/(AD + ADD) for the tested strains increased with the lapse of time for conversion in the presence of HP- β -CD. This was in agreement with previous findings that HP- β -CD enhances the catalytic activity of Δ^1 -dehydrogenase to different degrees.

Conclusions

This study has demonstrated that HP- β -CD significantly increased the reaction rate, molar conversion, and product ADD/AD ratio. Our results implied that the efficiency of the PS side chain degradation for all PS-biotransforming strains and the PS solubilizing effect play main roles in the biotransformation in the presence of HP- β -CD. The similar solubilizing effect of AD may not induce a prominent influence on the ADD/AD ratio. However, the different activities of Δ^1 -dehydrogenase result in different ADD production and ADD/AD ratios. Our results showed that HP- β -CD has multiple effects on the PS biotransformation abilities of *M. neoaurum* strains, including increased solubilization, reduced product inhibition on growth of *M. neoaurum*, and enhancement of the catalytic activity of Δ^1 -dehydrogenase.

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